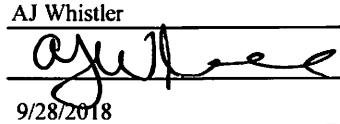
Joplin Auditing Services
RFP Scoresheet

Firm Name: RSM US LLP

Reviewer's Name: AJ Whistler

Reviewer's Signature: 

Date of Review: 9/28/2018

Is the Proposal considered substantially complete? (Yes) No

Experience (10 Pts):	**SCORE**
The firm's past experience on comparable government engagements of the City's type and size.	
NOTES: Several "Missouri" municipalities and governments including two comparable cities to the City of Joplin. Experience with Pension audit and PFC audit.	**9/10**

Staffing (10 Pts):	**SCORE**
Adequacy of the proposed staffing plan for various segments of the engagement and realistic estimates of time required to complete the engagement.	
NOTES: Adequate and appropriate staffing and audit plan structure for the audit size of the City of Joplin. Standard planning, fieldwork, and wrap up phases to ensure audit is completed by the required deadline. Estimated 800 hours.	**10/10**

Quality (10 Pts):	**SCORE**
The quality and governmental experience of the firm's professional personnel to be assigned to the engagement.	
NOTES: Several "years" of governmental auditing experience by each engagement personnel. National GFOA Certificate of Achievement for Excellence in Financial Reporting reviewer.	**10/10**

A-133 Experience (10 Pts):	**SCORE**
The firm's past experience on comparable engagements involving Federal Circular A-133 audits with similar amounts of federal financial assistance.	
NOTES: Several previous current and former client A-133 audit engagements.	9/10

Staff Education, Development and Staff Rotation (10 Pts):	**SCORE**
Adequacy of the firm's policies and procedures on staff education, development, continuing professional education and staff rotation.	
NOTES: Staff education and development is current with engagement personnel. Staff rotation is satisfactory.	9/10

Price (50 Pts):	**SCORE**
Price of Audit Service bid.	
NOTES: Score based on Cost bid for all Audit provided servies.	40/50

GRAND TOTAL:	**87/100**

Technical: 47
Price : 40
87/100

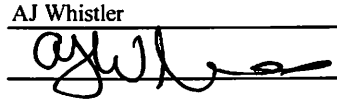
Specifications for Services Requested

1. The auditor shall express an opinion on the fair presentation of the City's financial statements in conformity with generally accepted accounting principles.
2. The auditor will draft the financial statements, Comprehensive Annual Financial Report and A-133 Single Audit.
3. The auditor will draft a separate report on the Passenger Facility Charge.
4. The auditor will draft a separate report on the Police and Fire Pension Plan.
5. The auditor will adhere to the audit schedule detailed in the RFP.
6. The auditor will describe their audit approach.
7. The auditor will describe staff education and development, staff turnover, and staff rotation policy.

Joplin Auditing Services
RFP Scoresheet

Firm Name: Cochran Head Vick & Co., P.C.

Reviewer's Name: AJ Whistler

Reviewer's Signature: 

Date of Review: 9/28/2018

Is the Proposal considered substantially complete? (Yes) No

Experience (10 Pts):	**SCORE**
The firm's past experience on comparable government engagements of the City's type and size.	
NOTES: Several "Missouri" municipalities and governements. Experience with Pension audit and PFC audit.	**9/10**
Staffing (10 Pts):	**SCORE**
Adequacy of the proposed staffing plan for various segments of the engagement and realistic estimates of time required to complete the engagement.	
NOTES: Adequate and appropriate staffing and audit plan structure for the audit size of the City of Joplin. Standard planning, fieldwork, and wrap up phases to ensure audit is completed by the required deadline. Estimated 600 hours.	**9/10**
Quality (10 Pts):	**SCORE**
The quality and governmental experience of the firm's professional personnel to be assigned to the engagement.	
NOTES: Several "years" of governmental auditing experience by each engagement personnel. Member of the GFOA Special Review Committee for the Certificate of Achievement for Excellence in Financial Reporting Program.	**10/10**

A-133 Experience (10 Pts):	**SCORE**
The firm's past experience on comparable engagements involving Federal Circular A-133 audits with similar amounts of federal financial assistance.	
NOTES: Several previous current and former client A-133 audit engagements.	**8/10**

Staff Education, Development and Staff Rotation (10 Pts):	**SCORE**
Adequacy of the firm's policies and procedures on staff education, development, continuing professional education and staff rotation.	
NOTES: Staff education and development is current with engagement personnel. Staff rotation is adequate.	**8/10**

Price (50 Pts):	**SCORE**
Price of Audit Service bid.	
NOTES: Score based on Cost bid for all Audit provided servies.	**50/50**

GRAND TOTAL:	**94/100**

Technical: 44
Price : 50
94/100

Specifications for Services Requested

1. The auditor shall express an opinion on the fair presentation of the City's financial statements in conformity with generally accepted accounting principles.
2. The auditor will draft the financial statements, Comprehensive Annual Financial Report and A-133 Single Audit.
3. The auditor will draft a separate report on the Passenger Facility Charge.
4. The auditor will draft a separate report on the Police and Fire Pension Plan.
5. The auditor will adhere to the audit schedule detailed in the RFP.
6. The auditor will describe their audit approach.
7. The auditor will describe staff education and development, staff turnover, and staff rotation policy.

Joplin Auditing Services
RFP Scoresheet

Firm Name: RSM US LLP

Reviewer's Name: Sherri McCulloch

Reviewer's Signature: Sherri McCulloch

Date of Review: Sept 27, 2018

Is the Proposal considered substantially complete? (Yes) No

Experience (10 Pts):	**SCORE**
The firm's past experience on comparable government engagements of the City's type and size.	
NOTES: Significant list of governmental clients of comparable size + larger	10 /10

Staffing (10 Pts):	**SCORE**
Adequacy of the proposed staffing plan for various segments of the engagement and realistic estimates of time required to complete the engagement.	
NOTES: Staffing plan + time estimate are reasonable — 200 more hours spent on audit than other bid	10 /10

Quality (10 Pts):	**SCORE**
The quality and governmental experience of the firm's professional personnel to be assigned to the engagement.	
NOTES: 5 are CPA's with years of experience ranging 18 yrs, 15 yrs + unknown. The Senior Manager has been the Senior Manager on audits for Springfield, Columbia, + Blue Springs.	9 /10

A-133 Experience (10 Pts):	**SCORE**
The firm's past experience on comparable engagements involving Federal Circular A-133 audits with similar amounts of federal financial assistance.	
NOTES:	8 /10
Staff Education, Development and Staff Rotation (10 Pts):	**SCORE**
Adequacy of the firm's policies and procedures on staff education, development, continuing professional education and staff rotation.	
NOTES:	9 /10
Price (50 Pts):	**SCORE**
Price of Audit Service bid.	
NOTES: Price increases significantly over the 4 additional years - 4% for FY 2019 8% for FY 2020, 13% for FY 2021 + 17% for 109,200. $21,500 more in FY 2018 than other bid - not a good use of taxpayer dollars	40 /50
GRAND TOTAL:	86 /100

Specifications for Services Requested

1. The auditor shall express an opinion on the fair presentation of the City's financial statements in conformity with generally accepted accounting principles.
2. The auditor will draft the financial statements, Comprehensive Annual Financial Report and A-133 Single Audit.
3. The auditor will draft a separate report on the Passenger Facility Charge.
4. The auditor will draft a separate report on the Police and Fire Pension Plan.
5. The auditor will adhere to the audit schedule detailed in the RFP.
6. The auditor will describe their audit approach.
7. The auditor will describe staff education and development, staff turnover, and staff rotation policy.

Joplin Auditing Services
RFP Scoresheet

Firm Name: Cochran Head Vick & Co

Reviewer's Name: Sherri McCulloch

Reviewer's Signature: Sherri McCulloch

Date of Review: Sept 27, 2018

Is the Proposal considered substantially complete? (Yes) No

Experience (10 Pts):	**SCORE**
The firm's past experience on comparable government engagements of the City's type and size.	
NOTES: Current & past clients of comparable size: Joplin (several years), Blue Springs, Independence, St. Joseph, Branson & others in Kansas	7 /10

Staffing (10 Pts):	**SCORE**
Adequacy of the proposed staffing plan for various segments of the engagement and realistic estimates of time required to complete the engagement.	
NOTES: Staffing plan & time estimate are reasonable - 600 hrs	7 /10

Quality (10 Pts):	**SCORE**
The quality and governmental experience of the firm's professional personnel to be assigned to the engagement.	
NOTES: 5 all are CPA's with years of experience ranging 40 yrs - 26 yrs - 18 yrs - 10 yrs & 4 yrs. I have worked with 4 of them in the past & they are very knowledgable & qualified.	10 /10

A-133 Experience (10 Pts):	**SCORE**
The firm's past experience on comparable engagements involving Federal Circular A-133 audits with similar amounts of federal financial assistance.	
NOTES: Significant Single audit experience + training. Significant Governmental audit experience & training.	10 /10

Staff Education, Development and Staff Rotation (10 Pts):	**SCORE**
Adequacy of the firm's policies and procedures on staff education, development, continuing professional education and staff rotation.	
NOTES: Staff education, development + CPE is excellent. No mention of staff rotation	9.5 /10

Price (50 Pts):	**SCORE**
Price of Audit Service bid.	
NOTES: Excellent price for current year + the next 4 years with an increase of 0% for FY 2019, 3% for FY 2020, 6% for FY 2021. + 9% for FY 2022. Fees, at no cost, provide 20 hrs of additional consultation.	50 /50

GRAND TOTAL:	92.5 /100

Specifications for Services Requested

1. The auditor shall express an opinion on the fair presentation of the City's financial statements in conformity with generally accepted accounting principles.
2. The auditor will draft the financial statements, Comprehensive Annual Financial Report and A-133 Single Audit.
3. The auditor will draft a separate report on the Passenger Facility Charge.
4. The auditor will draft a separate report on the Police and Fire Pension Plan.
5. The auditor will adhere to the audit schedule detailed in the RFP.
6. The auditor will describe their audit approach.
7. The auditor will describe staff education and development, staff turnover, and staff rotation policy.

Joplin Auditing Services
RFP Scoresheet

Firm Name: RSM

Reviewer's Name: LESLIE HAASE

Reviewer's Signature: Leslie Haase

Date of Review: 10/1/18

Is the Proposal considered substantially complete? (Yes) No

Experience (10 Pts):	**SCORE**
The firm's past experience on comparable government engagements of the City's type and size.	
NOTES: RSM is a well established firm with similar type audits	10 /10

Staffing (10 Pts):	**SCORE**
Adequacy of the proposed staffing plan for various segments of the engagement and realistic estimates of time required to complete the engagement.	
NOTES: Staffing plan is good and time frame is acceptable.	10 /10

Quality (10 Pts):	**SCORE**
The quality and governmental experience of the firm's professional personnel to be assigned to the engagement.	
NOTES: Checked References Experience & references are outstanding.	10 /10

A-133 Experience (10 Pts):	SCORE
The firm's past experience on comparable engagements involving Federal Circular A-133 audits with similar amounts of federal financial assistance.	
NOTES: RSM has well documented A-133 experience.	10 /10
Staff Education, Development and Staff Rotation (10 Pts):	SCORE
Adequacy of the firm's policies and procedures on staff education, development, continuing professional education and staff rotation.	
NOTES: Checked References RSM has good history of staff rotation. Education and Development are adequate.	9 /10
Price (50 Pts):	SCORE
Price of Audit Service bid.	
NOTES: Average price is @ 100,940 over 5 years	40 /50
GRAND TOTAL:	89 /100

Specifications for Services Requested

1. The auditor shall express an opinion on the fair presentation of the City's financial statements in conformity with generally accepted accounting principles.
2. The auditor will draft the financial statements, Comprehensive Annual Financial Report and A-133 Single Audit.
3. The auditor will draft a separate report on the Passenger Facility Charge.
4. The auditor will draft a separate report on the Police and Fire Pension Plan.
5. The auditor will adhere to the audit schedule detailed in the RFP.
6. The auditor will describe their audit approach.
7. The auditor will describe staff education and development, staff turnover, and staff rotation policy.

Joplin Auditing Services
RFP Scoresheet

Firm Name: COCHRAN HEAD VICK & CO

Reviewer's Name: LESLIE HAASE

Reviewer's Signature: Leslie Haase

Date of Review: 10/1/18

Is the Proposal considered substantially complete? (Yes) No

Experience (10 Pts):	**SCORE**
The firm's past experience on comparable government engagements of the City's type and size.	
NOTES: CHV is a well established firm with similar type audits.	9 /10
Staffing (10 Pts):	**SCORE**
Adequacy of the proposed staffing plan for various segments of the engagement and realistic estimates of time required to complete the engagement.	
NOTES: Staffing plan is good and time frame is acceptable. Note change in engagement partner to Michael Keenan	10 /10
Quality (10 Pts):	**SCORE**
The quality and governmental experience of the firm's professional personnel to be assigned to the engagement.	
NOTES: Experience & references are good.	9 /10

A-133 Experience (10 Pts):	SCORE
The firm's past experience on comparable engagements involving Federal Circular A-133 audits with similar amounts of federal financial assistance.	
NOTES: CHV has well documented A-133 experience.	10 /10
Staff Education, Development and Staff Rotation (10 Pts):	SCORE
Adequacy of the firm's policies and procedures on staff education, development, continuing professional education and staff rotation.	
NOTES: CHV has good history of staff rotation. Education & development are adequate	7 /10
Price (50 Pts):	SCORE
Price of Audit Service bid.	
NOTES: Average price is $74,126 over 5 years.	50 /50
GRAND TOTAL:	95 /100

Specifications for Services Requested

1. The auditor shall express an opinion on the fair presentation of the City's financial statements in conformity with generally accepted accounting principles.
2. The auditor will draft the financial statements, Comprehensive Annual Financial Report and A-133 Single Audit.
3. The auditor will draft a separate report on the Passenger Facility Charge.
4. The auditor will draft a separate report on the Police and Fire Pension Plan.
5. The auditor will adhere to the audit schedule detailed in the RFP.
6. The auditor will describe their audit approach.
7. The auditor will describe staff education and development, staff turnover, and staff rotation policy.