CONTRACT

THIS AGREEMENT, made this 12th day of OCTOBER, 2015, by and between the Housing Authority of the City of Joplin, Missouri, herein through its Executive Director, and Swift Construction Company Inc., dba Swift Asphalt, County of Newton and State of Missouri, herein through its President and hereinafter called "Contractor."

WITNESSETH: That for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the OWNER, the CONTRACTOR hereby agrees with the OWNER to commence and complete the construction described as follows:

> Repair and asphalt overlay of concrete and asphalt parking lots at Leonard Estates II, Bartlett Hills and Parr Hill

Hereinafter called the project, for the sum of $124,195.53 and all extra work in connection therewith, under the terms as stated in the General and Special Conditions of the Contract; and at its own proper cost and expense to furnish all the materials, supplies, machinery, equipment, tools, superintendence, labor, insurance, and other accessories and services necessary to complete the said project in accordance with the conditions and prices stated in the Proposal, the General Conditions, Supplemental General Conditions, and Special Conditions of the contract, the plans, which include all maps, plats, blue prints, and other drawings and printed or written explanatory matter thereof, the specifications and contract documents therefore as prepared by , herein entitled the Architect/Engineer, all of which are made a part hereof and collectively evidence and constitute the contract.

The Contractor hereby agrees to commence work under this contract on or before a date to be specified in a written "Notice to Proceed" of the Owner and to fully complete the project by November 30, 2015. The Contractor further agrees to pay, as liquidated damages, the sum of $150.00/Calendar Day for each consecutive calendar day thereafter as hereinafter provided in Paragraph 15 of the General Conditions.

The OWNER agrees to pay the CONTRACTOR in current funds for the performance of the contract, subject to additions and deductions, as provided in the General Conditions of the Contract, and to make payments on account thereof as provided in Paragraph 19, "Payments to Contractor, " of the General Conditions.

IN WITNESS WHEREOF, the parties to these presents have executed this contract in two (2) counterparts, each of which shall be deemed an original, in the year and day first above mentioned.

ATTEST:

Housing Authority
of the City of Joplin:

Matt Moran, Executive Director

Swift Construction Company, Inc.

Dave Roling, President

WITNESS:

Signature

Misty Winton
Printed

Office manager
Title

DATE: 10/12/15

SECTION 3 BUSINESSES

A business concern seeking a contract or a subcontract shall submit sufficient evidence to demonstrate that the business concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract. This regulation requires consideration of the contractor's record in complying with public policy requirements (among other factors). Section 3 compliance is a matter properly considered as part of this determination.

A **Section 3 Business** is defined in 24CFR PART 135 and SUBTITLE A as:

1) 51% or more owned by Section 3 residents; OR
2) whose permanent full-time employees include persons of whom at least 30% are currently Section 3 Residents, or were qualified to be Section 3 Residents within the three years before their initial hire date by the employer, OR
3) Provides evidence of a commitment to subcontract in excess of 25% of the dollar award to business concerns that meet the qualifications set forth in paragraphs 1 or 2 of this section.

A **Section 3 Resident** (for this project) is:

1) A Public Housing resident; OR
2) An individual who resides in Jasper County or Newton County and who qualified as Low-Income (as defined by the income limits shown below) during the 3 years prior to their hire date.

PLEASE ANSWER THE FOLLOWING FOR EVALUATION

1. Is at least 51% of your company owned by a "Section 3 Resident" as defined by the income limits?
   YES OR (NO)

2. Within three years of their hire date, were any of your permanent full-time employees:

   A. Public Housing residents? YES OR (NO) How many? N/A

   B. Using the income limits shown below, how many employees are below the limits show (based on the size of their family)? 9

2015		1 person family	2 person family	3 person family	4 person family	5 person family	6 person family	7 person family	8 person family
		1	4	3	1				
Low-income limits		$28,300	$32,350	$36,400	$40,400	$43,650	$46,900	$50,100	$53,350

3. How many permanent full-time employees does your company employ? 40

SWIFT CONSTRUCTION
COMPANY NAME

By (Signature & Printed) MISTY WINTON HR

Unauthorized Aliens Workers Act

The successful bidder will have to sign an agreement that they are enrolled and participating a Federal Work Authorization Program ("FWAP") that ensures that all of its employees or agents or subcontractors have the legal right to work in the United States, as defined in 8 U.S.C. 1324a(h)(3) and RSMo § 285.525-285.555, and that it will maintain this compliance for the entire duration of its contract with the Housing Authority of Joplin, and will provide an Affidavit of Compliance to the Housing Authority stating the same.

AFFIDAVIT OF COMPLIANCE
(Missouri Unauthorized Alien Workers Act)

My name is DAVID (name) ROCCO and I am the PRESIDENT (Title) _____ of SWEET CORN (Company Name) _____. I hereby represent, affirm and certify to the Housing Authority of Joplin that my company does not knowingly employ any person who is an unauthorized alien in connection with contracted services with the Housing Authority. I further affirm that my company is actively enrolled and participating in a federal work authorization program with respect to all employees working in connection with the contract services provided to the Housing Authority.

David A Rocco

Affiant

SUB-CONTRACTOR QUALIFICATIONS & CERTIFICATIONS

Firm Name: Swift Construction Co. Inc. dba Swift Asphalt
(Company Name)

225 E. Main St. (PO Box 788) Neosho, MO, 64850
(Address) (City, State, Zip Code)

(417) 451-4313 (417) 451-4890 misty.winton@swiftasphalt.net
(Phone Number) (Fax Number) (E-mail)

Date: 9-25-2015

Construction Capabilities: (Check all that apply)

- ☐ General Contracting
- ☐ Electrical
- ☐ Plumbing
- ☐ HVAC
- ☐ Demolition
- ☐ Earthmoving
- ☐ Asbestos Abatement
- ☑ Other: sitework - base & asphalt paving

For Corporations Only:

Federal ID Number: 43-1115991

Name of State(s) in which incorporated: Missouri

Date(s) of incorporation: 1977 – October

If not incorporated in Missouri:

1. Attach Certificate of Authority to do Business in Missouri
2. Certificate Number: N/A Date: _____

David A. Roling
(President's Name)

Pete Grathwohl
(Vice-President's Name)

Keith Bennett
(Secretary's Name)

Shawn Sapp
(Treasurer's Name)

For Partnerships Only: Date of Organization: _____

Type of Partnership: ☐ General ☐ Limited ☐ Association

Names and Addresses of all partners: (use additional sheet if necessary)

1. N/A
(Name) (Address) (City, State, Zip Code)

2. _____
(Name) (Address) (City, State, Zip Code)

General Information:

Federal ID Number: _ Percent of work done by Contractor: 75% Number of years in business: 39

or SSN: 43-1115991 Number of Permanent Employees: 40

Geographical limits of operation: 100 mile radius of JOPLIN MO

If you have done business under a different name, please give name and location: N/A

Has firm ever failed to complete a project or defaulted on a contract? If so, state where and why:

NO

Date: Dated this 25th day of SEPTEMBER, 2015.

Signatures:

☐ Individual ☐ Partnership ☐ Joint Venture ☑ Corporation

Business Name: SWIFT CONSTRUCTION dba SWIFT ASPHALT

Address: 225 E. MAIN (PO BOX 788)
NEOSHO MO 64850

Telephone: (417) 451-4313 Fax Number: (417) 451-4890

Federal ID Number: 43-1115991 Social Security Number: N/A

Incorporated under the laws of the State of:

MISSOURI

(If a corporation organized in a state other than Missouri, attach certificate of Authority to do business in the State of Missouri.)

(Bidder's Signature)

(Corporate Secretary's Signature and Seal)

DAVID H. ROLINE

(Typed or Printed Name of Signor)

(Seal)

(Partner/Joint Venture Signature)

(Typed or Printed Name of Signor)

CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause, and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

CERTIFICATION BY BIDDER

NAME AND ADDRESS OF BIDDER (Include ZIP Code):
Swift Construction Company, Inc

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause.
   ☒ YES ☐ NO
2. Compliance reports were required to be filed in connection with such contract or subcontract.
   ☒ YES ☐ NO
3. Bidder has filed all compliance reports due under applicable instructions.
   ☒ YES ☐ NO
4. Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended?
   ☐ YES ☒ NO

NAME AND TITLE OF SIGNER (Please type):
Swift Construction Company, Inc

David Allen 9/9/15

SIGNATURE DATE

CERTIFICATION OF BIDDER REGARDING SECTION 3 AND SEGREGATED FACILITIES

SWIFT CONSTRUCTION CO. INC
Name of Prime Contractor

HOUSING AUTHORITY
Project Name & Number
9/9/15

The undersigned hereby certifies that:

1. Section 3 provisions are included in the Contract.
2. A written Section 3 plan was prepared and submitted as part of the bid proceedings (if bid equals or exceeds $100,000).
3. No segregated facilities will be maintained.

Name

DAVID A. KOCINS, PRESIDENT
Name & Title of Signer (Print or Type):

Signature

9/9/15
Date

This form to be submitted with Bid:

MINORITY AND WOMEN'S BUSINESS ENTERPRISE UTILIZATION WORKSHEET

Grant Applicant ____

Project Number Housing Authority 9/1/15

Contractor/Engineer Swift Construction Company Inc.

Address, City, State, and Zip _____

Contact Person David Lacing Telephone No 417-451-4313

Amount of Contract _____ MBE Percentage 0 WBE Percentage: 0

1. MBE _____ Subcontractor _____
   WBE _____ Address, City, State, Zip _____

   Contact Person _____
   Amount of Subcontract _____ Tax ID Number _____

   Scope Of Work _____

2. MBE _____ Subcontractor _____
   WBE _____ Address, City, State, Zip _____
   Contact Person _____
   Amount of Subcontract _____ Tax ID Number _____
   Scope Of Work _____

3. MBE _____ Subcontractor _____
   WBE _____ Address, City, State, Zip _____
   Contact Person _____
   Amount of Subcontract _____ Tax ID Number _____
   Scope Of Work _____

4. MBE _____ Subcontractor _____
   WBE _____ Address, City, State, Zip _____
   Contact Person _____
   _____ Tax ID Number _____

BIDDER SECTION 3 PLAN FORMAT

If award is received, Swift Construction Company (name of contractor) agrees to implement the following specific affirmative action steps directed at increasing the utilization of lower income residents and businesses within the City of Neosho/Joplin, MO.

1. To ascertain from the locality's CDBG program official the exact boundaries of the Section 3 covered project area, and where advantageous, seek the assistance of local officials in preparing and implementing the affirmative action plan.
2. To attempt to recruit from within the city the necessary number of lower income residents through local advertising media, signs placed at the proposed site for the project, and community organizations, and public or private institutions operating within or serving the project area, such as Service Employment and Redevelopment (SER), Opportunities Industrialization Center (OIC), Urban League, Concentrated Employment Program, Hometown Plan, or the U.S. Employment Service.
3. To maintain a list of all lower income area residents who have applied either on their own or on referral from any source, and to employ such persons, if otherwise eligible and if a vacancy exists.
4. To insert the Section 3 Contract Provisions clause in all subcontracts over $100,000, to obtain Tables A and B from said subcontractors, and to obtain all documentation for completion of Tables C and D prior to final payment. (Loans, grants, contracts, and subsidies for less than $100,000 will be exempt.)
5. To contact unions, subcontractors, and trade associations to secure their cooperation for this program.
6. To ensure that all appropriate project area business concerns are notified of pending sub contractual opportunities.
7. To maintain records, including copies of correspondence, memoranda, etc., which document that all of the above affirmative action steps have been taken.
8. To appoint or recruit an executive official of the company or agency as Equal Opportunity Officer to coordinate the implementation of this Section 3 plan.
9. To list on Table A, information related to proposed subcontracts to be awarded to Section 3 businesses.
10. To list on Table B, all projected workforce needs for all phases of this project by occupation, trade, skill level, and number of positions.
11. If successful bidder, to submit prior to final payment Tables C and D to city/county grantees which includes all applicable hirees and subcontractors utilized on this project.

As officers and representatives of_____,

(Name of Bidder)

we, the undersigned, have read and fully agree to this Affirmative Action Plan, and become a party to the full implementation of this program.

Signature

David A Malin — 9/9/15

Title PRESIDENT — Date

Signature

_____ — _____

Title — Date

SECTION 3 BUSINESSES

A business concern seeking a contract or a subcontract shall submit sufficient evidence to demonstrate that the business concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract. This regulation requires consideration of the contractor's record in complying with public policy requirements (among other factors). Section 3 compliance is a matter properly considered as part of this determination.

A Section 3 Business is defined in 24CFR PART 135 and SUBTITLE A as:

1) 51% or more owned by Section 3 residents; OR
2) whose permanent full-time employees include persons of whom at least 30% are currently Section 3 Residents, or were qualified to be Section 3 Residents within the three years before their initial hire date by the employer, OR
3) Provides evidence of a commitment to subcontract in excess of 25% of the dollar award to business concerns that meet the qualifications set forth in paragraphs 1 or 2 of this section.

A Section 3 Resident (for this project) is:

1) A Public Housing resident; OR
2) An individual who resides in Jasper County or Newton County and who qualified as Low-income (as defined by the income limits shown below) during the 3 years prior to their hire date.

PLEASE ANSWER THE FOLLOWING FOR EVALUATION

1. Is at least 51% of your company owned by a "Section 3 Resident" as defined by the income limits?
   YES OR (NO)

2. Within three years of their hire date, were any of your permanent full-time employees:

   A. Public Housing residents? YES OR (NO) How many? N/A

   B. Using the income limits shown below, how many employees are below the limits show (based on the size of their family)? 9

2015		1 person family	2 person family	3 person family	4 person family	5 person family	6 person family	7 person family	8 person family
		1	4	3	1				
Low-income limits		$28,300	$32,350	$36,400	$40,400	$43,650	$46,900	$50,100	$53,350

3. How many permanent full-time employees does your company employ? 40

SWIFT CONSTRUCTION
COMPANY NAME

By (Signature & Printed) MISTY WINTON HR

CERTIFICATION BY PROPOSED SUBCONTRACTOR REGARDING EQUAL EMPLOYMENT OPPORTUNITY

NAME OF PRIME CONTRACTOR PROJECT NUMBER

INSTRUCTIONS:

The certification is required pursuant to Executive Order 11246 (30 F.R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the subcontractor has not filed a compliance report due under applicable instructions, such subcontractor shall be required to submit a compliance report before the owner approves the subcontract or permits work to begin under the subcontract.

SUBCONTRACTOR'S CERTIFICATION

NAME AND ADDRESS OF SUBCONTRACTOR (Include ZIP Code): Springfield Striping & Sealing 3809 E. Energy Place Springfield, MO 65803

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause.

   ☒ YES ☐ NO

2. Compliance reports were required to be filed in connection with such contract or subcontract.

   ☒ YES ☐ NO

3. Bidder has filed all compliance reports due under applicable instructions.

   ☒ YES ☐ NO

4. Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended?

   ☐ YES ☒ NO

NAME AND TITLE OF SIGNER (Please type):

Lisa Levingston / HR

SIGNATURE: DATE:

Lisa Levingston 9-9-2015

CERTIFICATION OF PROPOSED SUBCONTRACTOR REGARDING SECTION 3 AND SEGREGATED FACILITIES

Springfield Striping & Sealing
Name of Sub Contractor

Joplin Housing Authority
Project Name & Number

The undersigned hereby certifies that:

1. Section 3 provisions are included in the Contract.
2. A written Section 3 plan was prepared and submitted as part of the bid proceedings (if bid equals or exceeds $100,000).
3. No segregated facilities will be maintained as required by Title VI of the Civil Rights Act of 1964.

Lisa Livingston / HR
Name & Title of Signer (Print or Type)

Signature

9-9-2015
Date

CERTIFICATION REGARDING GOVERNMENT-WIDE RESTRICTION ON LOBBYING

(For contracts, grants, cooperative agreements, and loans over $100,000)

The undersigned certifies, to the best of his knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards (at all tiers, including contracts under grants, loans, and cooperative agreements, subcontracts, and subgrants) over $100,000, and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction impost by Section 1352, Title 31, U.S.C. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

SWIFT CONSTRUCTION COMPANY

Name of Entity (city, county, contractor, etc.)

Name of Certifying Official (Mayor, Presiding Commissioner, President, etc.)

David A Hales

Signature of Certifying Official

9/9/2015

Date

USER NAME PASSWORD

LOG IN

Forgot Username? Forgot Password?

Create an Account

Entity Dashboard

Entity Overview

Entity Record

Core Data

Assertions

Reps & Certs

POCs

Reports

Service Contract Report

BioPreferred Report

Exclusions

Active Exclusions

Inactive Exclusions

Excluded Family Members

RETURN TO SEARCH

SWIFT CONSTRUCTION COMPANY, INC.
DUNS: 087774105 CAGE Code: 5C8Y2
Status: Active

225 E MAIN
NEOSHO, MO, 64850-1812 ,
UNITED STATES

Expiration Date: 10/13/2016
Purpose of Registration: All Awards

Entity Overview

Entity Information

Name: SWIFT CONSTRUCTION COMPANY, INC.
Doing Business As: SWIFT ASPHALT
Business Type: Business or Organization
POC Name: Collin Swift
Registration Status: Active
Activation Date: 10/14/2015
Expiration Date: 10/13/2016

Exclusions

Active Exclusion Records? No

SAM | System for Award Management 1.0

IBM v1.P.42.20160129-1215
WWW6

Note to all Users: This is a Federal Government computer system. Use of this system constitutes consent to monitoring at all times.

ANTI-COLLUSION STATEMENT

STATE OF MISSOURI

CITY/COUNTY OF GREENE

DAVID A. ROUNE

_____ being first duly sworn, deposes and says that he is

PRESIDENT

Title of Person Signing

of SWIFT CONSTRUCTION COMPANY, INC.

Name of Bidder

that all statements made and facts set out in the proposal for the above project are true and correct; and the bidder (The person, firm, association, or corporation making said bid) has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with said bid or any contract which may result from its acceptance.

Affiant further certifies that bidder is not financially interested in, or financially affiliated with, any other bidder for the above project.

BY David A Roune

BY _____

BY _____

SWORN to before me this 30 day of September 20 15.

Janet E Caudillo

Notary Public

My Commission Expires May 16, 2018

JANET E. CAUDILLO
Notary Public – Notary Seal
STATE OF MISSOURI
Webster County
My Commission Expires May 16, 2018
Commission #14973978

FIG. 10- 4

Revised 03-23-00

SUB-CONTRACTOR QUALIFICATIONS & CERTIFICATIONS

Firm Name: Swift Construction Co. Inc. dba Swift Asphalt
(Company Name)

225 E. Main St. (PO Box 788) Neosho, MO, 64850
(Address) (City, State, Zip Code)

(417) 451-4313 (417) 451-4890 misty.winton@swiftasphalt.net
(Phone Number) (Fax Number) (E-mail)

Date: 9-25-2015

Construction Capabilities: (Check all that apply)

- ☐ General Contracting
- ☐ Electrical
- ☐ Plumbing
- ☐ HVAC
- ☐ Demolition
- ☐ Earthmoving
- ☐ Asbestos Abatement
- ☑ Other: sitework - base & asphalt paving

For Corporations Only:

Federal ID Number: 43-1115991

Name of State(s) in which incorporated: Missouri

Date(s) of incorporation: 1977 – October

If not incorporated in Missouri:

1. Attach Certificate of Authority to do Business in Missouri
2. Certificate Number: N/A Date: _____

David A. Roling
(President's Name)

Pete Grathwohl
(Vice-President's Name)

Keith Bennett
(Secretary's Name)

Shawn Sapp
(Treasurer's Name)

For Partnerships Only: Date of Organization: _____

Type of Partnership: ☐ General ☐ Limited ☐ Association

Names and Addresses of all partners: (use additional sheet if necessary)

1. N/A
(Name) (Address) (City, State, Zip Code)

2. _____
(Name) (Address) (City, State, Zip Code)

General Information:

Federal ID Number: _ Percent of work done by Contractor: 75% Number of years in business: 39

or SSN: 43-1115991 Number of Permanent Employees: 40

Geographical limits of operation: 100 mile radius of Joplin MO

If you have done business under a different name, please give name and location: N/A

Has firm ever failed to complete a project or defaulted on a contract? If so, state where and why:

NO

Date: Dated this 25th day of SEPTEMBER, 2015.

Signatures:

☐ Individual ☐ Partnership ☐ Joint Venture ☑ Corporation

Business Name: SWIFT CONSTRUCTION dba SWIFT ASPHALT

Address: 225 E. MAIN (PO Box 788)
NEOSHO MO 64850

Telephone: (417) 451-4313 Fax Number: (417) 451-4890

Federal ID Number: 43-1115991 Social Security Number: N/A

Incorporated under the laws of the State of:

MISSOURI

(If a corporation organized in a state other than Missouri, attach certificate of Authority to do business in the State of Missouri.)

(Bidder's Signature)

(Corporate Secretary's Signature and Seal)

DAVID A. ROLING

(Typed or Printed Name of Signor)

(Seal)

(Partner/Joint Venture Signature)

(Typed or Printed Name of Signor)

CITY OF

JOPLIN

MISSOURI

Business name . . : SWIFT CONSTRUCTION CO
Phone number . . : (417) 451-4313 Ctl nbr . . : 2972
Location addr . . : OUT OF AREA
Lic Nbr/Class . . : 16 00003004 SUBCONTRACTOR-MO
Issue date . . . : 5/04/15 Expiration date . . : 4/30/16
Comments . . . . . : ASPHALT PAVING

LICENSE NON-TRANSFERABLE/DISPLAY IN VIEW FOR CUSTOMERS

SWIFT CONSTRUCTION CO
P O BOX 788
NEOSHO MO 64850

OSHA 002501475

U.S. Department of Labor
Occupational Safety and Health Administration

Douglas Frossard
has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Walton 8/10/09
(Trainer) (Date)

OSHA 002501479

U.S. Department of Labor
Occupational Safety and Health Administration

Rick Helvey
has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Walton 8/10/09
(Trainer) (Date)

OSHA 002501461

U.S. Department of Labor
Occupational Safety and Health Administration

Keith Burleson
has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Walton 8/10/09
(Trainer) (Date)

OSHA 002501462

U.S. Department of Labor
Occupational Safety and Health Administration

Patric Dubuque
has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Walton 8/10/09
(Trainer) (Date)

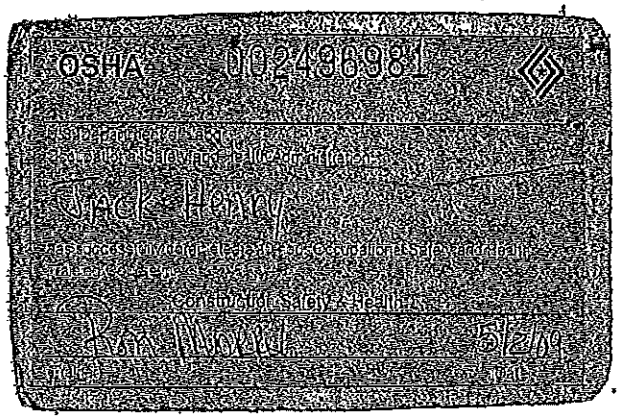
OSHA 002501466

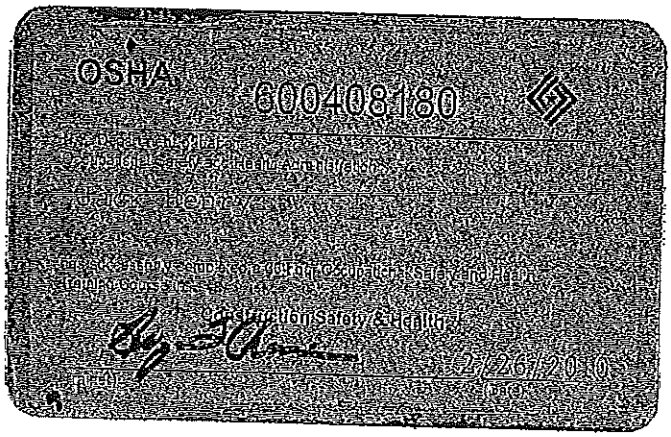
U.S. Department of Labor
Occupational Safety and Health Administration

Kevin Smith
has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Walton 8/10/09
(Trainer) (Date)





OSHA Occupational Safety and Health Administration

28-003520989

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Wayne Allison

Robert Hartman 2/17/11
(Trainer name -- print or type) (Course end date)

CLICKSAFETY®

certifies that

ROBERT MAYHALL

has successfully completed ClickSafety's web-based training course

OSHA 10 Road Construction

This course was developed and presented by ClickSafety.com, Inc.

I confirm that I personally took the course listed above.

13480696 | 4/12/2015 | 10 HOURS | _____

SERIAL NUMBER | COMPLETION DATE | COURSE DURATION | STUDENT SIGNATURE



OSHA Construction 10-Hour
OSHA 10-Hour Spanish.

36-004889103

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

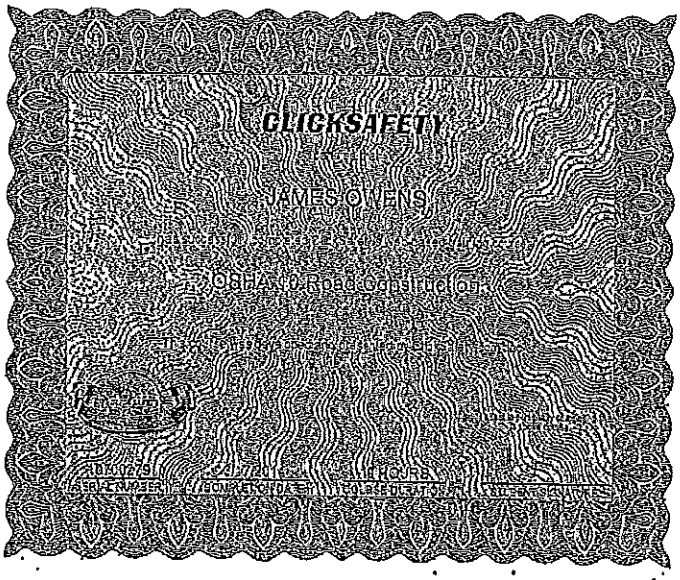
James Owens

Peter Rice 66873

(Trainer name – print or type)

3/17/2014

(Course end date)





38-004889084

OSHA Road Construction 10-Hour

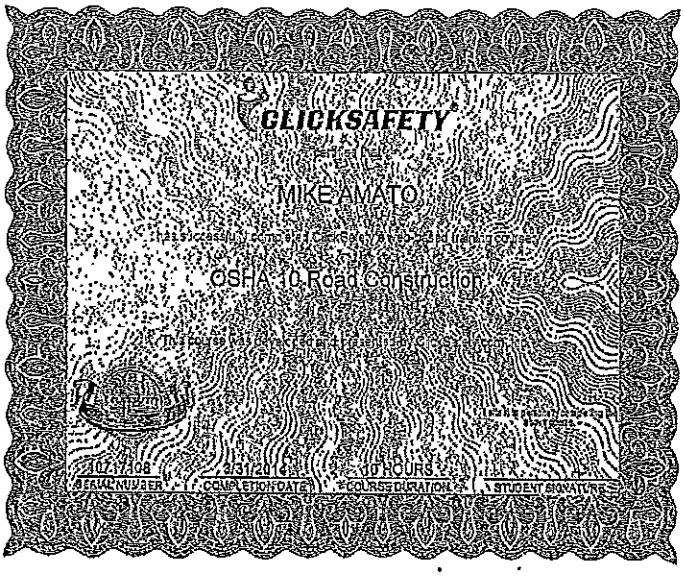
OSHA 10-Hour Spanish

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

Mike Amato

Peter Rice 66873 — 3/31/2014

(Trainer name – print or type) — (Course end date)



CLICKSAFETY

certifies that

MICHAEL VANTREASE

has successfully completed ClickSafety's web-based training course

OSHA 10 Road Construction

This course was developed and presented by ClickSafety.com Inc.

I attest to personally completing the above course.

07000302	3/10/2014	10 HOURS	
SERIAL NUMBER	COMPLETION DATE	COURSE DURATION	STUDENT SIGNATURE



OSHA Construction 10-Hour 36-004889107

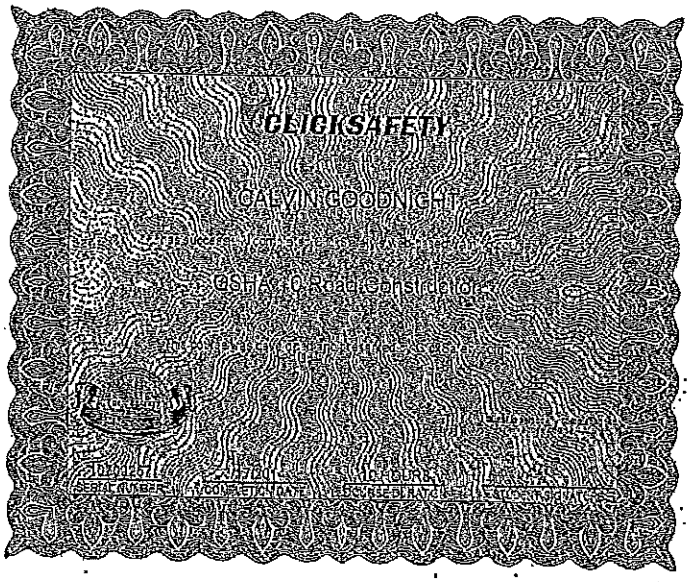
OSHA 10-Hour Spanish

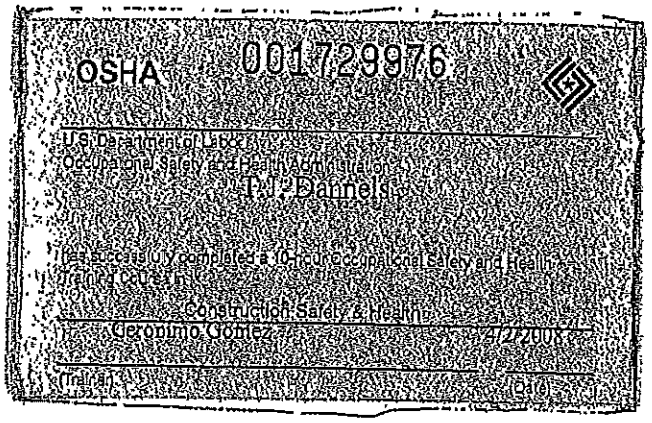
This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

Calvin Goodnight

Peter Rice 66873 3/17/2014

(trainer name – print or type) (course end date)




OSHA 00172997[illegible]

U.S. Department of Labor
Occupational Safety and Health Administration

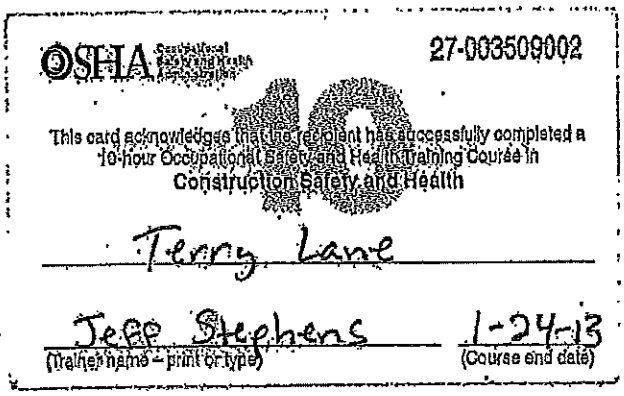
T. J. Daniels

has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Geronimo Gomez 4/2/2008

(Trainer) (Date)

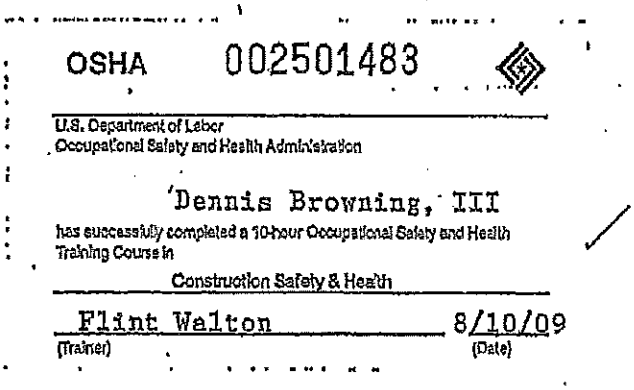

OSHA 27-003509002

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

Terry Lane

Jeff Stephens 1-24-13

(Trainer name - print or type) (Course end date)


OSHA 002501483

U.S. Department of Labor
Occupational Safety and Health Administration

Dennis Browning, III

has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Walton 8/10/09

(Trainer) (Date)

OSHA 002501504

U.S. Department of Labor
Occupational Safety and Health Administration

Gilbert Mendoza
has successfully completed a 10-hour Occupational Safety and Health Training Course in

Construction Safety & Health

Flint Welton
(Trainer)

08-10-09
(Date)

OSHA 27-003509005

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

Charles Oxendine

Jeff Stephens
(Trainer name – print or type)

1-24-13
(Course end date)

OSHA 27-003509000

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in Construction Safety and Health

Lloyd Friend

Jeff Stephens
(Trainer name – print or type)

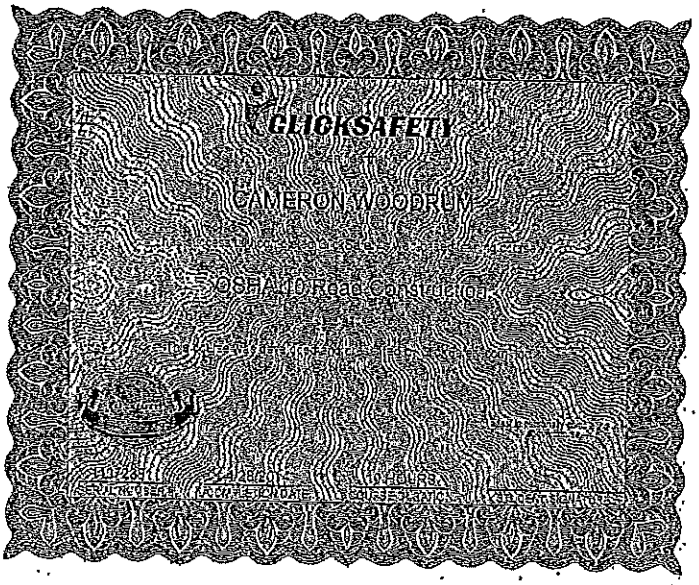
1-24-13
(Course end date)

OSHA 002501501

U.S. Department of Labor
Occupational Safety and Health Administration

Josh Letts
has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety & Health

Flint Walton 8/10/09
(Trainer) (Date)



CLICKSAFETY

certifies that

TIMOTHY DANNELS

has successfully completed ClickSafety's web-based training course

OSHA 10 Road Construction

This course was developed and presented by ClickSafety.com, Inc.

13892078	8/30/2015	10 HOURS	I confirm that I personally took the course listed above
SERIAL NUMBER	COMPLETION DATE	COURSE DURATION	STUDENT SIGNATURE

SECTION 3 BUSINESSES

A business concern seeking a contract or a subcontract shall submit sufficient evidence to demonstrate that the business concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract. This regulation requires consideration of the contractor's record in complying with public policy requirements (among other factors). Section 3 compliance is a matter properly considered as part of this determination.

A Section 3 Business is defined in 24CFR PART 135 and SUBTITLE A as:

1) 51% or more owned by Section 3 residents; OR
2) whose permanent full-time employees include persons of whom at least 30% are currently Section 3 Residents, or were qualified to be Section 3 Residents within the three years before their initial hire date by the employer, OR
3) Provides evidence of a commitment to subcontract in excess of 25% of the dollar award to business concerns that meet the qualifications set forth in paragraphs 1 or 2 of this section.

A Section 3 Resident (for this project) is:

1) A Public Housing resident; OR
2) An individual who resides in Jasper County or Newton County and who qualified as Low-income (as defined by the income limits shown below) during the 3 years prior to their hire date.

PLEASE ANSWER THE FOLLOWING FOR EVALUATION

1. Is at least 51% of your company owned by a "Section 3 Resident" as defined by the income limits?
   YES OR (NO)

2. Within three years of their hire date, were any of your permanent full-time employees:

   A. Public Housing residents? YES OR (NO) How many? _____

   B. Using the income limits shown below, how many employees are below the limits show (based on the size of their family)?

   0

2015		1 person family	2 person family	3 person family	4 person family	5 person family	6 person family	7 person family	8 person family
Low-income limits		$28,300	$32,350	$36,400	$40,400	$43,650	$46,900	$50,100	$53,350

3. How many permanent full-time employees does your company employ? 20

Springfield Sealing Service, Inc. — COMPANY NAME
DBA/Springfield Striping & Sealing

Lisa Levingston — By (Signature & Printed)

SUB-CONTRACTOR QUALIFICATIONS & CERTIFICATIONS

Firm Name: Springfield Sealing Service, Inc.
DBA: Springfield Striping & Sealing (Company Name)
3809 E. Energy Pl, Springfield, MO 65803
(Address) (City, State, Zip Code)
417-831-5114 417-831-6240 jschlup@springfieldstriping.com
(Phone Number) (Fax Number) (E-mail)
Date: September 30, 2015

Construction Capabilities: (Check all that apply)

- ☐ General Contracting
- ☐ Electrical
- ☐ Plumbing
- ☐ HVAC
- ☐ Demolition
- ☐ Earthmoving
- ☐ Asbestos Abatement
- ☒ Other: Asphalt construction, concrete, parking lot sealing & striping

For Corporations Only:

Federal ID Number: 43-1325545
Name of State(s) in which incorporated: Missouri
Date(s) of incorporation: March 30, 1984
If not incorporated in Missouri:

1. Attach Certificate of Authority to do Business in Missouri
2. Certificate Number:_____ Date: _____

Joe Manzardo (President's Name)
Mark Clark (Vice-President's Name)
Sarah Tittsworth (Secretary's Name)
Sarah Tittsworth (Treasurer's Name)

For Partnerships Only: Date of Organization: _____

Type of Partnership: ☐ General ☐ Limited ☐ Association

Names and Addresses of all partners: (use additional sheet if necessary)

1. _____
(Name) (Address) (City, State, Zip Code)
2. _____
(Name) (Address) (City, State, Zip Code)

General Information:

Federal ID Number: 43-1325545 Percent of work done by Contractor: 90 Number of years in business: 44

or SSN: _____ Number of Permanent Employees: 20

Geographical limits of operation: Missouri, Kansas, Oklahoma, Arkansas

If you have done business under a different name, please give name and location: N/A

Has firm ever failed to complete a project or defaulted on a contract? If so, state where and why: No

Date: Dated this 30th day of September, 20 15.

Signatures:

☐ Individual ☐ Partnership ☐ Joint Venture ☒ Corporation

Business Name: Springfield Sealing Service, Inc. DBA/Springfield Striping & Sealing

Address: 3809 E. Energy Place
Springfield, MO 65803

Telephone: 417-831-5114 Fax Number: 417-831-6240

Federal ID Number: 43-1325545 Social Security Number: _____

Incorporated under the laws of the State of:

Missouri

(If a corporation organized in a state other than Missouri, attach certificate of Authority to do business in the State of Missouri.)

Mark Clark

(Bidder's Signature)

Sarah Kittmouth

(Corporate Secretary's Signature and Seal)

Mark Clark

(Typed or Printed Name of Signor)

(Seal)

(Partner/Joint Venture Signature)

(Typed or Printed Name of Signor)

ANTI-COLLUSION STATEMENT

STATE OF MISSOURI

CITY/COUNTY OF Lawrence

Mark Clark being first duly sworn, deposes and says that he is

General Manager
Title of Person Signing

of Springfield Sealing Service, Inc.
DBA: Springfield Striping & Sealing
Name of Bidder

that all statements made and facts set out in the proposal for the above project are true and correct; and the bidder (The person, firm, association, or corporation making said bid) has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with said bid or any contract which may result from its acceptance.

Affiant further certifies that bidder is not financially interested in, or financially affiliated with, any other bidder for the above project.

BY Mark Clark

BY _____

BY _____

SWORN to before me this 7th day of October 2015.

Lisa P. Levingston
Notary Public

My Commission Expires 9-17-2017

LISA P. LEVINGSTON
Notary Public - Notary Seal
STATE OF MISSOURI
Lawrence County
My Commission Expires: September 17, 2017
Commission # 13527575

FIG. 10- 4

Revised 03-23-00

USER NAME PASSWORD

LOG IN

Forgot Username? Forgot Password?

Create an Account

Entity Dashboard

Entity Overview

Entity Record
Core Data
Assertions
Reps & Certs
POCs
Reports
Service Contract Report
BioPreferred Report
Exclusions
Active Exclusions
Inactive Exclusions
Excluded Family Members

RETURN TO SEARCH

SPRINGFIELD SEALING SERVICE INC
DUNS: 063697494 CAGE Code: 31HN6
Status: Active

3809 E ENERGY PL
SPRINGFIELD, MO, 65803-0000 ,
UNITED STATES

Expiration Date: 04/27/2016
Purpose of Registration: All Awards

Entity Overview

Entity Information

Name: SPRINGFIELD SEALING SERVICE INC
Doing Business As: SPRINGFIELD STRIPING & SEALING SERVICE
Business Type: Business or Organization
POC Name: Mark Clark
Registration Status: Active
Activation Date: 04/28/2015
Expiration Date: 04/27/2016

Exclusions

Active Exclusion Records? No

SAM | System for Award Management 1.0

IBM v1.P.42.20160129-1215
WWW6

Note to all Users: This is a Federal Government computer system. Use of this system constitutes consent to monitoring at all times.

OSHA Occupational Safety and Health Administration

27-003070486

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Stephen Edwards

Lonnie Hightower

(Trainer name – print or type) 01/26/2010 (Course end date)

OSHA Occupational Safety and Health Administration

27-003508662

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Christopher Beard

Martin Fulbright 03/07/2013

(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

36-004603616

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

COREY GREEN

Marie Athey 12/12/2013

(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

27-003070489

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Troy Byers

Lonnie Hightower 01/26/2010

(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

27-003070490

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Todd Willis

Lonnie Hightower 01/26/2010

(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

27-004502856

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Austin Cook

Russell Hinds 3/5/2014

(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

27-005410282

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Anthony Pedicini

Russell Hinds 9/4/2015
(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

27-005410278

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Michael Edwards

Russell Hinds 9/4/2015
(Trainer name – print or type) (Course end date)

OSHA Occupational Safety and Health Administration

27-005410276

This card acknowledges that the recipient has successfully completed a 10-hour Occupational Safety and Health Training Course in
Construction Safety and Health

Jesse Shotwell

Russell Hinds 9/4/2015
(Trainer name – print or type) (Course end date)

SECTION 3 BUSINESSES

A business concern seeking a contract or a subcontract shall submit sufficient evidence to demonstrate that the business concern is responsible and has the ability to perform successfully under the terms and conditions of the proposed contract. This regulation requires consideration of the contractor's record in complying with public policy requirements (among other factors). Section 3 compliance is a matter properly considered as part of this determination.

A Section 3 Business is defined in 24CFR PART 135 and SUBTITLE A as:

1) 51% or more owned by Section 3 residents; OR
2) whose permanent full-time employees include persons of whom at least 30% are currently Section 3 Residents, or were qualified to be Section 3 Residents within the three years before their initial hire date by the employer, OR
3) Provides evidence of a commitment to subcontract in excess of 25% of the dollar award to business concerns that meet the qualifications set forth in paragraphs 1 or 2 of this section.

A Section 3 Resident (for this project) is:

1) A Public Housing resident; OR
2) An individual who resides in Jasper County or Newton County and who qualified as Low-income (as defined by the income limits shown below) during the 3 years prior to their hire date.

PLEASE ANSWER THE FOLLOWING FOR EVALUATION

1. Is at least 51% of your company owned by a "Section 3 Resident" as defined by the income limits?
   YES OR (NO)

2. Within three years of their hire date, were any of your permanent full-time employees:

   A. Public Housing residents? YES OR NO How many? No idea

   B. Using the income limits shown below, how many employees are below the limits show (based on the size of their family)?

2015		1 person family	2 person family	3 person family	4 person family	5 person family	6 person family	7 person family	8 person family
Low-income limits		$28,300	$32,350	$36,400	$40,400	$43,650	$46,900	$50,100	$53,350

3. How many permanent full-time employees does your company employ? 200

Vance Brothers Inc.
COMPANY NAME

Robert A. Vance - VP
By (Signature & Printed)

VANCE BROS. INC
CORPORATE SEAL
MISSOURI
VANCE BROS INC. (816) 923-4325

ANTI-COLLUSION STATEMENT

STATE OF MISSOURI

CITY/COUNTY OF Jackson

Robert A. Vance

_____ being first duly sworn, deposes and says that he is

Vice President

Title of Person Signing

of Vance Brothers, Inc.

Name of Bidder

that all statements made and facts set out in the proposal for the above project are true and correct; and the bidder (The person, firm, association, or corporation making said bid) has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with said bid or any contract which may result from its acceptance.

Affiant further certifies that bidder is not financially interested in, or financially affiliated with, any other bidder for the above project.

BY _____

BY _____

BY _____

VANCE BROS. INC
CORPORATE SEAL
MISSOURI
VANCE BROS. INC. (816) 923-4325

SWORN to before me this 7th day of October 2015.

Ola B Knight

Notary Public

My Commission Expires July 25, 2019

OLA B KNIGHT
Notary Public - Notary Seal
State of Missouri, Jackson County
Commission # 15218445
My Commission Expires Jul 25, 2019

FIG. 10- 4

Revised 03-23-00

SUB-CONTRACTOR QUALIFICATIONS & CERTIFICATIONS

Firm Name: Vance Brothers, Inc
(Company Name)

5201 Brighton Ave, Kansas City, Mo 64130
(Address) (City, State, Zip Code)

816-923-4325 816-923-6472 Mabor@Vancebrothers.com
(Phone Number) (Fax Number) (E-mail)

Date: _____

Construction Capabilities: (Check all that apply)

- ☒ General Contracting
- ☐ Electrical
- ☐ Plumbing
- ☐ HVAC
- ☐ Demolition
- ☐ Earthmoving
- ☐ Asbestos Abatement
- ☐ Other: _____

For Corporations Only:

Federal ID Number: 44-0577983

Name of State(s) in which incorporated: Missouri

Date(s) of incorporation: 12/31/1958

If not incorporated in Missouri:

1. Attach Certificate of Authority to do Business in Missouri
2. Certificate Number: _____ Date: _____

John Vance
(President's Name)

Jeff Vance, Robert A. Vance & Mark A. Smith
(Vice-President's Name)

Robert A. Vance
(Secretary's Name)

Robert A. Vance
(Treasurer's Name)

For Partnerships Only: Date of Organization: _____

Type of Partnership: ☐ General ☐ Limited ☐ Association

Names and Addresses of all partners: (use additional sheet if necessary)

1. _____
(Name) (Address) (City, State, Zip Code)

2. _____
(Name) (Address) (City, State, Zip Code)

General Information:

Federal ID Number: _ Percent of work done by Contractor: _____ Number of years in business: _____

or SSN: _____ Number of Permanent Employees: _____

Geographical limits of operation: None

If you have done business under a different name, please give name and location: _____

Has firm ever failed to complete a project or defaulted on a contract? If so, state where and why:

No

Date: Dated this 7th day of October, 20 15.

Signatures:

☐ Individual ☐ Partnership ☐ Joint Venture ☑ Corporation

Business Name: Vance Brothers Inc.

Address: 5201 Brighton P.O. Box 300107
Kansas City, MO 64130-0107

Telephone: 816-923-1325 Fax Number: 816-923-6472

Federal ID Number: 44-0577983 Social Security Number: _____

Incorporated under the laws of the State of:

Missouri

(If a corporation organized in a state other than Missouri, attach certificate of Authority to do business in the State of Missouri.)

(Bidder's Signature)

Richard K Baldwin

(Corporate Secretary's Signature and Seal)

Robert A Vance

(Typed or Printed Name of Signor)

VANCE BROS. INC
CORPORATE SEAL
MISSOURI
VANCE BROS. INC. (816) 923-4325

(Partner/Joint Venture Signature)

(Typed or Printed Name of Signor)

CITY OF JOPLIN MISSOURI

Business name . : VANCE BROTHERS, INC
Phone number . : (816) 923-4325 Ctl nbr . : 21411
Location addr . : OUT OF AREA
Lic Nbr/Class . : 16 00029621 SUBCONTRACTOR-MO
Issue date . . : 4/23/15 Expiration date . : 4/30/16
Comments . . . : SUB CONTRACTOR - MICROSURFACING

LICENSE NON-TRANSFERABLE/DISPLAY IN VIEW FOR CUSTOMERS

VANCE BROTHERS, INC
5201 BRIGHTON
KANSAS CITY MO 64130

SWIFT CONSTRUCTION COMPANY, INC.
DUNS: 087774105 CAGE Code: 5C8Y2
Status: Active
Expiration Date: 10/13/2016
Purpose of Registration: All Awards

225 E MAIN
NEOSHO, MO, 64850-1812 ,
UNITED STATES

Entity Overview

Entity Registration Summary

Name: SWIFT CONSTRUCTION COMPANY, INC.
Doing Business As: SWIFT ASPHALT
Business Type: Business or Organization
Last Updated By: Collin Swift
Registration Status: Active
Activation Date: 10/14/2015
Expiration Date: 10/13/2016